

## **Pre-Summit Workshop**

Monday, May 19, 2008

### **Developing & Maintaining an Effective FERC Compliance Program**

Monday, May 19, 8:30-4:30

#### **Hosting Law Firm Lawyers**

*The Pre-Summit workshop will provide a comprehensive tutorial on how to design and implement an effective compliance program. Attendees will learn the attributes of an effective compliance system, and examine the practical steps to design and implement such a program. The workshop will include extensive opportunities for asking questions and discussing related issues.*

8:30 –10:15 am

#### **WHAT ARE THE COMPLIANCE PROGRAM REQUIREMENTS?**

- California, Enron and demands for effective oversight by FERC
- FERC's response: reorganizations and rules
- The Energy Policy Act of 2005

**Elizabeth W. Whittle**, *Partner*, NIXON PEABODY LLP

10:15 –10:45 am

Morning Break

10:45 –Noon

#### **ELEMENTS OF AN EFFECTIVE COMPLIANCE PLAN**

- Common elements of a successful regulatory compliance program
- Meeting market-based rate authority reporting requirements

**Kenneth M. Raisler**, *Partner*, SULLIVAN & CROMWELL, LLC

Noon –1:30 pm

Group Luncheon

1:30-2:45 pm

**DESIGNING A COMPLIANCE PROGRAM**

- Basics on the New Reliability Compliance Process
- Creating a culture of compliance
- The role of common sense in compliance

**Roberta S. Brown**, *President*, SASSAFRAS RIVER ASSOCIATES, LLC

2:45-3:15

Afternoon Break

3:15-4:30 pm

**ISSUES IN IMPLEMENTING A COMPLIANCE PROGRAM**

- Pluses and minuses of centralized independent audit and compliance groups vs. distributing embedded reliability monitors in functional groups
- Establishing new audit, review and compliance procedures

**Pierre Salle**, *President*, KEMA REGISTERED QUALITY