

Pre-Summit Workshop #1  
Monday, April 19, 2010

**Improving Your NERC Compliance Program**

8:00 – 9:00    **Networking Breakfast and Registration**

9:00–10:00    **NERC Reliability Requirements: New Developments**

NERC compliance continues to receive significant attention across the industry, yet the standards continue to evolve. Fines have reached \$25 million and we are less than three years into the enhanced enforcement process. This presentation will focus on the latest developments in NERC compliance in terms of standards development and the implications of the fines issued to date.

Presenter:

**Sam Brattini**, *Executive Consultant*, KEMA, INC.

10:00-10:45    **Understanding How the NERC Discovery, Audit & Reporting Process Works**

- What they are
  - »» Self-Certification
  - »» Self-Reporting
- What to expect
- Lessons learned so far and the pitfalls to expect

Presenter:

**Linda Campbell**, *Vice President & Executive Director - Standards & Compliance*,  
FLORIDA RELIABILITY COORDINATING COUNCIL

10:45-11:15    Networking Break

11:15-12:00    **Evaluating Structural Options for Compliance Organizations**

The organizational structure of a firm subject to NERC oversight has a major impact on its compliance efforts and systems. This presentation will examine how the scope of an organization's operations and activities affects its compliance needs, and hence how its systems should be tailored.

Presenter:

**Andre Chon**, *Principal and Director*, AUS CONSULTING

12:00-1:30    **Keynote Address & Joint Luncheon: How We React — Regulatory Reform in the OTC Market and Beyond**

**Bart Chilton**, *Commissioner*, CFTC

1:30-2:15    **Preparing for a NERC Audit**

In over two years since mandatory enforcement began, Regional Entities have conducted numerous audits. What lessons have been learned in preparing for full and spot audits? How can you achieve a successful audit outcome when reviewed by Regional Reliability Organization auditors? This presentation will discuss the tools and strategies you can use to prepare for and conduct a NERC audit.

2:15-2:45 Networking Break

2:45-4:15 **Best Practices in NERC Compliance**

Participants will discuss how they have organized internal efforts to meet the standards. They will discuss how they have worked both to ensure compliance with the standards and to respond to inquiries, spot checks and comprehensive audits. The panel will also discuss their participation in the standards development process and how to manage and prioritize involvement in the myriad development efforts underway.

Panelists:

**Michael Beer**, *Vice President of Federal Regulation & Policy*, E.ON US

**Jason Marshall**, *Technical Manager, Standards Compliance and Strategy*, MIDWEST ISO

**Raj Rana**, *Director - RTO Policy and NERC Compliance*, AMERICAN ELECTRIC POWER

4:15 Summit Adjourns